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(Not for submission under 37 CFR 1.99)

Application Number	09801490
Filing Date	2001-03-08
First Named Inventor	Keith
Art Unit	3696
Examiner Name	Daniel S. Felten
Attorney Docket Number	125530

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	4	6381692	B1	2002-04-30	Martin	
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	1	CHANJAROEN, C., "Thai Exchange to Alter Timing of Index Close," The Asian Wall Street Journal, June 25, 1999, < http://proquest.umi.com.pqdweb?index=2&did=42650676&SrchMode=1&sid=1&Fmt=3&V... > [retrieved October 1, 2008], 2 pages.	<input type="checkbox"/>
	2	KARANDE, K., "MiFI D Best Execution Benchmark," a discussion based on "The Process of Achieving Best Execution, Investment Guides: Transaction Performance, the Changing Face of Trading," Euromoney, Institutional Investor PLC, March 2002, pp. 1-15.	<input type="checkbox"/>
	3	PR Newswire, "TIS Worldwide Builds Stock Market Game for Securities Industry Foundation for Economic Education," New York, April 3, 2000, < http://proquest.umi.com.pqdweb?index=0&did=57225218&SrchMode=1&sid=2&Fmt=3&V... > [retrieved June 22, 2008], 3 pages.	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	/Daniel Felten/	Date Considered	11/09/2009
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